



OFFICE OF THE REGISTRAR
NORTH LAKHIMPUR UNIVERSITY

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Ref No: NLU/RC/PhD/Notification/2026/1247

Date: 16-10-2026

Notification

North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (PhD), 2026

Pursuant to the resolutions of the 1st meeting of the Executive Council vide No. 9 & 10 dated 30/09/2026, the undersigned is pleased to notify the North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (PhD), 2026.

The said **Regulations** shall come into effect immediately.


Registrar

North Lakhimpur University
REGISTRAR

North Lakhimpur University
Lakhimpur, Assam

Date: 16/10/2026

Memo No: NLU/RC/PhD/Notification/2026/1247 (A)

Copy to-

- 1) Dean, Research and Development
- 2) Dean, Faculty of Humanities and Social Sciences
- 3) Dean (i/c), Faculty of Science and Technology
- 4) Chairperson, concerned DRCs
- 5) PA to the Vice-Chancellor
- 6) Website Administrator with a request to upload to the website
- 7) Office file

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Registrar

North Lakhimpur University

North Lakhimpur University
Regulations for the Research Council and Award of
the Degree of Doctor of Philosophy (PhD), 2026

*(Approved in the 1st Meeting of the Academic Council held on 03/07/2026 vide its
Resolution No. 3.4 and accepted by the Executive Council held on 30/09/2026 vide its
Resolution No. 9&10)*



North Lakhimpur University
Khelmati, Assam, 787031
INDIA


REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

NORTH LAKHIMPUR UNIVERSITY
REGULATIONS FOR THE RESEARCH COUNCIL AND AWARD OF THE
DEGREE OF DOCTOR OF PHILOSOPHY (PH.D.), 2026

CHAPTER - I

Preamble	1.	These Regulations, in conformity with the University Grants Commission (Minimum Standards and Procedures for Award of Ph.D. Degree) Regulations, 2022, aim to establish a high-quality Doctoral Programme towards the achievement of Academic Excellence.
Short title and commencement	2.	These Regulations shall be known as the “North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph.D.), 2026”
Definitions	3.	(1) In these regulations, unless the context otherwise requires (a) The “Act” means the North Lakhimpur University Act, 2023 (ASSAM ACT NO. LVIII OF 2023); (b) “These Regulations” means the First Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph.D.) of North Lakhimpur University made under Section 57 (11) of the Act; (c) “PhD Regulations” mean the North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph.D.), 2026 made under Section 57 (11) of the Act; (d) “University” means the North Lakhimpur University, which shall be referred to as ‘North Lakhimpur University’ in common parlance; (e) “Faculty” means the Faculty of the University viz. Faculty of Science and Technology, Faculty of Arts and Humanities <i>etc.</i> as per Sections 42 and 43 of the Act.; (f) “AC” means the Academic Council of the University; (g) “RC” means Research Council of North Lakhimpur University; (h) “Research Department” means a Department or a Center of studies of the University which conducts PhD Programmes; (i) “DRC” means the Departmental Research Committees of the Research Departments of North Lakhimpur University;


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- (j) "Supervisor" means a PhD supervisor of a PhD student;
- (k) "Research Scholar" means a PhD Student enrolled in the PhD Programme of the University;

- (2) Words and expressions used herein but not defined in these Regulations but defined in the Act or Statute shall have the same meanings as assigned to them in the Act.

Conduct of PhD Programme

- 4. The Ph.D. Programmes shall be conducted under the general supervision of the Research Council, and the Departmental Research Committee (DRC) constituted under the 'North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph.D.), 2026.

Regulations for the Research Council (RC)

- 5. (1) Composition of the Research Council
 - (a) The Vice Chancellor - Chairperson
 - (b) The Registrar - Member
 - (c) The Academic Registrar - Member
 - (d) The Dean, Research & Development - Member Secretary
 - (e) The Dean, Faculty of Science & Technology - Member
 - (f) The Dean, Faculty of Humanities & Social Science - Member
 - (g) The DRC Chairpersons of the PhD Offering Departments of the University - Member
 - (h) Two (2) professors - who are recognized supervisors of the University, one each from the Faculty of Science & Technology and Faculty of Humanities & Social Sciences - Member

- (2) The Term:

The term of all the members of the Research Council shall be for three years from the date of appointment except for the *ex-officio* members.

- (3) Meeting & Quorum:

- (a) The research council should meet at least twice a year.
- (b) One-third of the existing members of the Research Council shall form a quorum for a meeting of the Council

Functions, Powers and Duties of the RC

- 6. The Research Council will be primarily responsible for the following:



- (1) Constitute one Departmental Research Committee (DRC) of each PG teaching department with a Ph.D. Programme.
- (2) Subject to the overall guidance of the Academic Council, periodically (at least once a year), prepare a perspective of research in the University and its Constituent College(s), if any, and identify the thrust areas for research in the disciplines of the University.
- (3) Critically review the status of research in the University in each department, examine the progress being made, and make possible suggestions.
- (4) RC will oversee the preparation of the Ph.D. coursework syllabi by the respective DRCs, ensuring alignment with the University Rules and Regulations and the applicable UGC Guidelines, and will submit the finalized syllabi to the Academic Council for approval.
- (5) Perform any other functions that may be assigned to it either by the Academic Council or the Executive Council.

Departmental Research Committee (DRC) 7.

- (1) The Departmental Research Committee (DRC) is the Research Committee of a PG teaching department/center of the University. There shall be a Departmental Research Committee (DRC) consisting of a minimum of three (3) members, including the Chairperson, constituted as below.
- (2) Composition of the DRC is as follows:
 - (a) **Chairperson:** The Head of Department will be the chairperson of the DRC, provided he/she is eligible for PhD Supervisorship. If the Head of Department does not have eligibility for PhD supervisorship, then the seniormost supervisor of the department, shall be the Chairperson. He/ She will continue as the Chairperson of the DRC concerned until the Head of the Department/Director of the Centre acquires eligibility to be a supervisor.
 - (b) **Members:** All eligible Ph.D. Supervisors of the department.
 - (c) Provided that in the case of a Department having fewer than the minimum required number of three (3) eligible/recognized PhD Supervisors, the Vice-Chancellor shall nominate such number of members to the DRC of that Department as may be necessary to make up the shortfall, from among the recognized PhD Supervisors of the University. Such nomination shall be for a period of three (3) years, or until the Department attains the minimum required number of recognized PhD Supervisors to constitute the DRC from among its own Supervisors, whichever is earlier.



Provided further that if, on the expiry of the said period of three(3) years, the Department continues to have fewer than the minimum required number of recognized PhD Supervisors, fresh nomination(s) may be made by the Vice-Chancellor in the same manner for a further period of three (3) years.

Provided also that a nominated member may serve on the DRC of a particular Department for a maximum of two (2) terms.

Functions, Powers and
Duties of the DRC

8. The Departmental Research Committee (DRC) shall be responsible for the academic, research and departmental administration of the Ph.D. Programme of the concerned Department/Centre and shall perform the following functions:
 - (1) To prepare the Ph.D. coursework syllabi of the concerned Department/Centre in accordance with the University Regulations and applicable UGC Guidelines and submit the same to the Research Council for further processing and approval by the Academic Council.
 - (2) To scrutinize and evaluate applications from eligible faculty members for recognition as Ph.D. Research Supervisors and forward the applications, along with the recommendations of the DRC, to the Research Council for consideration and approval.
 - (3) To conduct the viva-voce/interview of candidates seeking admission to the Ph.D. Programme and, on the recommendation of the concerned Doctoral Advisory Committee (DAC), consider research proposals, recommend eligible candidates for registration, scrutinize the prescribed application and supporting documents, and forward the same to the Research Council with its recommendation and proposed effective date of registration.
 - (4) To determine, allocate and formally confirm the Research Supervisor and, where necessary, Co-Supervisor of each Ph.D. scholar in accordance with these Regulations.
 - (5) To notify the formation of the Doctoral Advisory Committee (DAC) of each Ph.D. scholar and consider the recommendations of the DAC regarding the scholar's academic and research progress, including verification and recommendation of the prescribed progress reports to the Research Council.
 - (6) To consider, on the recommendation of the concerned DAC, applications for modification of the thesis title or change of research

topic and forward its recommendations to the Research Council for final approval; and to recommend extension of the period of Ph.D. registration in accordance with these Regulations.

- (7) To organize the pre-submission seminar/presentation of the abstract of the Ph.D. thesis, assess fulfilment of the prescribed requirements for thesis submission, and prepare and recommend the prescribed panel of examiners, forwarding the same together with the synopsis and other required documents to the Research Council.
- (8) To coordinate, in consultation with the Controller of Examinations and the Research Council, matters relating to thesis evaluation and resubmission, wherever required under these Regulations.
- (9) To conduct and oversee the Ph.D. viva-voce examination in accordance with these Regulations and forward the duly completed viva-voce report to the Research Council.
- (10) To maintain appropriate departmental records relating to Ph.D. scholars, including registration, supervisor allocation, progress reports, seminars, publications, thesis submission, thesis evaluation and viva-voce, and to monitor the overall academic and research progress of scholars and ensure compliance with these Regulations.
- (11) To perform such other academic, research and administrative functions relating to the Ph.D. Programme as may be assigned by the Research Council, Academic Council or the competent authority of the University.

Doctoral Advisory
Committee (DAC)

9. (1) Formation: The supervisor shall initiate the formation of the DAC to monitor the progress of each of the research students.
 - (a) The DAC shall be composed of the supervisor as the Chairperson and a minimum of three faculty members, of which at least two shall be from the concerned DRC, and one shall be an external member from within or outside the University nominated by the supervisor.
 - (b) The formation of the DAC must be notified by the Chairperson of the concerned DRC.
 - (c) There shall be a separate Doctoral Advisory Committee for each Ph.D. scholar.
- (2) The meetings of the DAC should be conducted at least once every six months or as needed and submit a copy to the proceeding to the Research Council, duly forwarded by research supervisors and the Chairperson of the concerned DRC.

Functions, Powers and
duties of the DAC

10. The Doctoral Advisory Committee shall be responsible for the following functions:



- (1) To examine research proposals, finalize the topic of research and recommend them to the DRC concerned if they are found suitable for Ph.D. registration.
- (2) To guide the Ph.D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.
- (3) To periodically review and assist in the progress of the research work of the Ph.D. scholar.
- (4) To monitor the scholar's research progress and recommend progress reports to the DRC concerned.
- (5) Each semester, a Ph.D. scholar shall appear before the DAC to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The DAC shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the DRC. A copy of such recommendations shall also be provided to the Ph.D. scholar.
- (6) In case the progress of the Ph.D. scholar is unsatisfactory, DAC shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, DAC may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. Programme.
- (7) Prior to the pre-submission seminar, it shall review the abstracts of the Ph.D. Thesis and make suggestions/recommendations to the PhD scholar, if any.
- (8) It shall ensure that the thesis is corrected and modified in accordance with the examiners' recommendations prior to the final viva-voce examination, if any.

gibility of
supervision

11. The following persons shall be eligible to be Supervisors for Ph.D. Programmes relating to respective areas of specialization:

- (1) (a) A regular faculty member of a University Department/Centre may be recognized as a Research Supervisor provided that he/she holds a Ph.D. and has a minimum of one (1) year of post-Ph.D. teaching experience in the PG Programme of North Lakhimpur University, and fulfils the following publication requirements:
 - (i) Professor/Associate Professor: at least five (5) research publications, of which at least three (3) must be indexed in Scopus or Web of Science (*including book chapters and/or conference proceedings*), and at least one (1) of these indexed publications must be a journal article.



- (ii) Assistant Professor: at least three (3) research publications, of which at least two (2) must be indexed in Scopus or Web of Science (*including book chapters and/or conference proceedings*), and at least one (1) of these indexed publications must be a journal article.
- (b) *Provided that* any publication not indexed in Scopus or Web of Science that is counted towards the above requirements shall be a research paper published in a refereed journal only; book chapters and conference proceedings shall not be counted under this category.
- (2) Recognized research supervisors of the University cannot supervise research scholars in other institutions, where they can only act as co-supervisors.
- (3) As and when required, DRC members from the same Department or from other departments of the University may act as a Co-Supervisor for a PhD student.
- (4) Outstanding faculty members from outside the University may serve as a Co-Supervisor subject to approval from the Research Council.
- (5) In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/Faculty/Centre/University may be appointed, subject to the approval from the Research Council.
- (6) An eligible Professor/Associate Professor/Assistant Professor can supervise up to eight (8) / six (6)/ four (4) Ph.D. scholars, respectively, at any given time.
- (7) If a research student under a Research Supervisor submits his/her Ph.D. thesis for adjudication, the date of submission of Ph.D. thesis will be considered as date of occurrence of vacancy under that Research Supervisor in order to avoid the delay in granting registration for new research scholar.
- (8) Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph.D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
- (9) All faculties of North Lakhimpur College (Autonomous) duly approved as Ph.D. Supervisors by the Research Council of North Lakhimpur College (Autonomous), shall be deemed to be recognized as Ph.D. Supervisors of North Lakhimpur University.



- (10) No Supervisor shall be allowed to supervise the Ph.D. Programme of any near relative.

Change of Supervisor

12. (1) In case the Supervisor of a candidate is on long leave or on lien or leaves the University before the completion of research of the scholar, the Research Council may allow the Supervisor concerned to continue the supervision of the research work as the Co supervisor. In such cases, the DRC concerned shall take necessary steps for appointment of a Supervisor/Co-supervisor for the Scholar with due approval from the Research Council.
- (2) The change of supervisor shall be permitted only in cases like death, state of being incapacitated due to illness, mental disabilities, removal or suspension from the job or such cases where the RC considers it inevitable to change the supervisor. In such cases, the contribution of the former/original supervisor shall be recognized/acknowledged.
- (3) A PhD student can apply to the Chairperson, RC through the Chairperson, DRC to change his/her PhD supervisor if he/she wants to change his/her research area before the PhD registration, to a new area outside the scope of the present supervisor.
- (4) Change of supervisor for any other matters, the Research Council shall take appropriate decisions as per the provisions of prevailing acts.

CHAPTER - II

Eligibility for
Admission in PhD
Programme

13. The following are eligible to seek admission to the Ph.D. Programme:
- (1) Candidates who have completed,
- (a) A 1-year/2-semester master's degree Programme after a 4-year/8-semester bachelor's degree Programme
- (b) A 2-year/4-semester master's degree Programme after a 3-year bachelor's degree Programme
- (c) A qualification declared equivalent to the master's degree by the corresponding statutory regulatory body.
- (2) The candidate must have score at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

duration of the
programme

- 14 (1) Ph.D. Programme shall be for a minimum duration of two (2) years, after the registration, and a maximum duration of five (5) years from the date of registration to the Ph.D. Programme.
- (2) An extension of maximum two (2) years can be granted in extraordinary cases (recommended by DAC and DRC) as per the Statute/Ordinance of the North Lakhimpur University; provided, however, that the total period for completion of a Ph.D. Programme should not exceed seven (7) years from the date of registration in the Ph.D. Programme.
- (3) Provided further that, female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. Programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. Programme.
- (4) Female Ph.D. Scholars may be provided Maternity Leave/Child Care Leave as per applicable Govt. rules.

procedure for
admission

- 15 Admission to the Ph.D. Programme shall be made using the following methods:
- (1) Admission to the Ph.D. Programme will be through an entrance test called 'North Lakhimpur University Research Entrance Test (NLURET)' followed by a viva-voce/ interview by concerned DRC.
- (2) The syllabus for NLURET shall consist of 50% on research methodology, and 50% shall be subject-specific.
- (3) Candidates who have secured 50% marks in the entrance test are eligible to be called for the viva-voce/ interview.
- (4) Candidates who have qualified the UGC-NET (JRF/ Lectureship/ PhD only)/ UGC-CSIR NET (JRF/ Lectureship/ PhD only), GATE/ DST-INSPIRE in relevant subject are exempted from appearing the NLURET. However, these candidates must apply for admission as per the University Notification and have to appear in a viva-voce/ interview as prescribed by the DRC concerned.
- (5) A relaxation of 5 % marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/specially abled category and other categories as per the decision of the Commission from time to time.
- (6) The Departmental Research Committee (DRC) may set further eligibility or desirable requirements for PhD admissions.
- (7) The final merit list will be prepared based on the performance in the interview/ viva- voce.

- Ph.D. Coursework
16. (1) The Credit requirement for the Ph.D. coursework is a minimum of 12 credits, including a "Research and Publication Ethics" course as notified by UGC vide D.O. No. F.1- 1/2018(Journal/CARE) in 2019, and a research methodology course.
- (2) The University will offer a blended mode option for regular-part-time students during the coursework phase.
- (3) The Doctoral Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph.D. Programme.
- (4) All Ph.D. scholars shall be required to train in teaching/education/pedagogy/writing related to their chosen Ph.D. subject during their doctoral period.
- (5) A Ph.D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the Programme and submit his or her thesis.
- Registration
17. (1) **Presentation of Synopsis:** Within one year from successful completion of the course work, a candidate shall present his/her research synopsis before the DAC. DAC shall review the proposal(s), suggest modifications, if any and finalize a research topic for the scholar.
- (2) After finalizing the research topic, the candidate shall submit the synopsis to the DAC concerned in triplicate.
- (3) DAC shall recommend the research proposals for consideration to the DRC concerned.
- (4) The Chairperson, DRC shall forward the applications of the candidate for Ph.D. Registration along with its recommendations to the Member Secretary, Research Council of North Lakhimpur University. The proposals for registration should contain the following documents:
- (a) Duly filled in and duly forwarded Application Forms
)
- (b) Recommendation of the DAC
)
- (c) Recommendation of the DRC with proposed date of effect
) (ANNEXURE - IV).
- Allocation of Supervisor
18. (1) The allocation of a research supervisor to a selected research scholar shall be determined by the concerned Departmental Research Committee (DRC), considering the permissible number of scholars per supervisor, the available specializations of the research supervisors, the research interests expressed by the

scholars during the interview, and the willingness of the prospective supervisor.

- (2) At the time of admission, each research scholar shall be provisionally allotted a supervisor. The supervisorship shall be formally confirmed by the Departmental Research Committee (DRC) at the time of registration of the scholar.
- (3) Where required, a co-supervisor shall be allotted at the time of the scholar's registration.

Extension

19. Provided that if a candidate fails to submit the thesis within five (5) years from the date of registration, he/she may apply for extension. In such cases, RC on recommendation of the DRC concerned may allow a scholar for extension of the registration period for a maximum of two years, provided that the total period for completion of a Ph.D. Programme should not exceed seven (7) years from the date of registration in the Ph.D. Programme.

Ph.D. through
Regular Part-time
Mode

20. (1) Ph.D. Programmes through regular part-time mode will be permitted, to a permanent government in-service employee, provided he or she applies through the proper channel and provided all the conditions stipulated in this Regulation are fulfilled.
- (2) Candidates applying for Regular Part-time mode shall apply through the same procedure and same eligibility and selection processes apply to them as well.
- (3) Additionally, candidates applying for Regular Part-time mode must submit a "No Objection Certificate" from the appropriate authority in the organization where the candidate is employed, clearly stating that:
 - (a) The candidate is permitted to pursue studies on a part-time basis.
 - (b) His/her official duties permit him/her to devote sufficient time for research.
 - (c) If required, he/she will be relieved from the duty to complete the course work.

Modification of the
Thesis Title

21. (1) A research scholar may, after registration, apply for modification of the title of the thesis, provided that the proposed modification does not substantially alter the approved research topic, objectives, methodology or the basic scope and direction of the research work.
- (2) The application for modification of the thesis title shall be submitted by the scholar through the concerned Supervisor to the Doctoral Advisory Committee (DAC). The DAC shall examine

the proposed modification and make its recommendation to the Departmental Research Committee (DRC).

- (3) The DRC shall consider the recommendation of the DAC and determine whether the proposed modification is consistent with the approved research topic and the progress of the research work. The DRC shall thereafter forward its recommendation, along with the recommendation of the DAC, to the Research Council for final approval.
- (4) Modification of the thesis title shall be permissible only between the date of registration and the submission of the Abstract of the Thesis.

Change the topic

22. (1) A research scholar may, after registration, apply for a change of the approved research topic only on substantial academic or research grounds. The proposed change shall be justified by the scholar and shall be supported by the recommendation of the concerned Supervisor and the Doctoral Advisory Committee (DAC).
- (2) The scholar shall submit a revised research proposal/synopsis clearly indicating the proposed research topic, objectives, scope, methodology and the justification for the proposed change. The DAC shall examine the revised proposal and forward its recommendation to the Departmental Research Committee (DRC).
- (3) The DRC shall consider the recommendation of the DAC and examine the academic justification, feasibility and relevance of the proposed research topic. After consideration, the DRC shall forward its recommendation, together with the recommendation of the DAC and the revised research proposal/synopsis, to the Research Council for final approval.
- (4) A change of research topic shall be permissible only between the date of registration and the submission of the Abstract of the Thesis.

Language

23. In all subjects, the thesis shall be written in English. However, in the language subjects, the thesis may be written in English or in the language concerned as deemed appropriate by the School Board concerned.

Pre-Requisites for
Submission of thesis

24. (1) A scholar registered for Ph.D. degree becomes eligible to submit his/her PhD Thesis on completion of two years of research work from the date of registration. He/she must submit the thesis within five (5) years from the date of registration.
- (2) Every Ph.D. scholar must have at least one research paper related to his/her thesis topic published (or accepted for publication) in a journal indexed in Scopus or Web of Science before submission

of the thesis. The scholar shall submit documentary evidence of such publication in the form of a reprint or an acceptance letter.

- (3) Every registered scholar shall present two original research work in DRC relevant to their domains of research.
- (4) Prior to submission of the thesis, the scholar shall make a seminar presentation before the DAC based on the synopsis of the thesis, which shall be open to all faculty members and research scholars/students.

Submission of Abstract of Thesis

- 25. (1) On completion of the research work, the scholar shall write to the Chairperson of the DAC concerned seeking permission to submit the thesis along with a soft copy and four (4) printed copies of the abstract of the thesis. The Chairperson, DAC shall place the application before the DRC along with the filled in format as stated in *Annexure III*.
- (2) **Presentation of the Abstract of Ph.D. Thesis:** The DRC concerned shall arrange for presentation of the of Abstract of Ph.D. Thesis in a seminar, which shall be open for all faculties, research scholars and students at the University.
- (3) On satisfactory presentation of the findings the DRC shall forward the quadruplicate copies of the synopsis of the thesis to the RC, provided the scholar fulfils all the requirements as mentioned.
- (4) The DRC shall submit the synopsis of the thesis along with the panel of Examiners to the RC. The panel shall include the concerned PhD supervisor as the internal examiner, and four (4) external examiners, of which at least two (2) experts must be from outside the state/ country. The list of external examiners should not contain names of recognized Ph.D. Supervisors of North Lakhimpur University or of any person from the institution where the Ph.D. scholar has a Co-supervisor. External examiner(s) should be academicians with a good record of scholarly publications in the field.
- (5) If the Chairperson, Research Council is satisfied that the Panel is in order in all respects, he/she shall forward it to the Controller of Examinations.
- (6) The Controller of Examination shall finalize two external examiners, one of which must be from outside the state/country with due approval from the Vice-Chancellor.

Anti-Plagiarism Measure

- 26. Before submitting the thesis for evaluation, the scholar shall get the final draft of the thesis screened through the University Library using recognized plagiarism-detection software approved by the Research Committee (RC), North Lakhimpur University. The overall similarity

index shall not exceed ten percent (10%). The scholar shall submit, following documents along with the thesis:

- (1) Certificate of Originality by the Librarian, as per *Annexure V*.
- (2) Student Approval Form by the Scholar as per *Annexure VI*.
- (3) Besides, the scholars also have to submit a Self-Plagiarism Exclusion Certificate duly signed as per *Annexure VII*.

Submission of Ph.D.
Thesis

27. (1) The scholar shall submit to the Controller of Examinations, soft copies of Ph.D. thesis, with two printed copies within three (3) months from the date of submission of the abstracts or the date of expiry of the period of registration/ extension of registration (whichever is earlier) in the prescribed format along with the requisite amount of fees.
- (2) A Ph.D. scholar shall submit the thesis for evaluation, along with,
 - (a) an undertaking from the Ph.D. scholar that there is no plagiarism
 - (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution.

Appointment of
Examiners

28. (1) The Controller of Examinations shall appoint two examiners out of the panel of experts with due approval, of which at least one shall be from outside the state/ country, besides the Supervisor and Co-supervisor(s), if any, of the thesis.
- (2) The Controller of Examinations shall send the soft/printed copy of the Abstracts to the external examiners as approved by the Vice-Chancellor as a first step towards the examination of the thesis.
- (3) If the externals agree to examine the Ph.D. The Controller of Examinations will send the soft/printed copies of the Ph.D. thesis to the examiner.
- (4) If any external denies examining the Ph.D. thesis, the Controller of Examinations will send the Abstract to another member of the panel, with due approval from the Vice-Chancellor, and the same process shall follow.

Evaluation of PhD
thesis

29. The Controller of Examinations and the DRC will take appropriate measure so as to complete the entire process of evaluation of the thesis for Ph.D. within a period of six months from the date of submission of the thesis.

The thesis shall be evaluated in two parts: (1) **Written reports** by the Examiners on the thesis submitted, and (2) **Viva-Voce Examination**

(1) **Written reports**

- (a) While giving detailed comments on the thesis, the Examiner shall recommend that in his/her opinion:
 - (i) *either the thesis be accepted* (This opinion will be given on the basis of the thesis Examiner's detailed report, and it must not contradict the detailed report.)
 - (ii) *or the thesis be revised and resubmitted* (In this case, the examiner shall indicate the lines along which the thesis should be revised. For such revision, the candidate shall be provided with the reports of the examiner/examiners who have recommended revision of the thesis.)
 - (iii) *or the thesis be rejected* [In this case, reasons for rejection will be given by the Examiner(s).]
- (b) The Controller of Examinations shall place the External Examiner's Reports of the Ph.D. Thesis before the Chairperson of the Research Council in confidentiality. The Chairperson on behalf of the Research Council shall examine reports of the Ph.D. Thesis and suggest the Controller of Examinations for further action accordingly.
- (c) In cases where both the external examiners recommend rejection of the thesis, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.
- (d) In cases where any one of the examiners recommends rejection, then the thesis shall be referred to a third External Examiner from the Panel. In this case, the candidate will have to pay a prescribed fee. If this examiner also recommends rejection, the thesis shall be rejected, and the Ph.D. scholar shall be declared ineligible for the award of a Ph.D.
- (e) In cases where any one of the examiners asks for resubmission, the Controller of Examinations, through the concerned DRC, shall ask the candidate to resubmit the thesis along with a prescribed fee within three months in the light of the suggestions made by the Examiner concerned. The revised thesis will be referred to the examiner who had sought revision.
- (f) The Examiners shall also state whether the thesis is worthy of publication or not. If not, the reasons will be clearly stated by the Examiner(s). The recommendation, however, is necessary only for the publication of the thesis. In case



the thesis is not recommended for publication, this will not have any bearing on the award of the degree.

- (g) Upon acceptance of the PhD thesis by both externals, the Controller of Examinations shall recommend the concerned DRC to proceed with the viva-voce examination of the PhD scholar.

(2) **Viva-Voce Examination**

- (a) After getting recommended by the Controller of Examinations to proceed for the Viva-Voce examination, the Chairperson of DRC shall notify the date and time for the Viva-Voce examination of the PhD Scholar.
- (b) The viva-voce board shall consist of the following:
 - (i) Chairperson: Chairperson of the DRC
 - (ii) Members: Research Supervisor, Co-supervisor(s), if any, and at least one of the two external examiners appointed by the Vice-Chancellor.
- (c) In case the Chairperson of the DRC is the PhD Supervisor, he/she shall nominate another member of the DRC as the Chairperson of the Viva-Voce board, and the supervisor shall act as a member of the board.
- (d) The viva-voce shall be open to the members of the DAC/faculty members/research scholars, and students; however, the Viva-Voce report shall be prepared only by the viva-voce board.
- (e) Viva-Voce may be conducted in hybrid mode
- (f) In the event of the absence of unanimity amongst the members of the Viva-Voce with regard to the award of the Ph.D. degree, the majority decision shall prevail.
- (g) The Report of the Viva-Voce examination, with clear indications of recommended/not recommended for the PhD degree, shall be forwarded by the Chairperson of the concerned DRC to the Chairperson, Research Council for necessary action.
- (h) The result of the Viva-voce examination shall be made known to the PhD scholar immediately after preparation of the report.
- (i) Provided that in case of rejection by the majority, the candidate shall be required to appear again for the Viva-Voce within three months, or before completion of six (6) years from the date of admission, whichever is earlier.



Results	30. (1) Upon successful defense of the Ph.D. thesis in the viva-voce examination, the scholar shall submit the final hard-bound copy of the thesis along with a soft copy to the Controller of Examinations after incorporating corrections, modifications, etc. as suggested by the Viva-voce Board, if any, within one month from the viva-voce examination, which is to be duly certified by the supervisor concerned. (2) The Vice-Chancellor shall accept from the Controller of Examinations a duly examined Ph.D. thesis and declare the candidate eligible for Ph.D., <i>which is to be immediately notified by the Registrar</i> with the title of the thesis, name of the Supervisor, and the subject to which the thesis belongs, with a copy to all concerned.
Issue of Certificate	31. (1) A Provisional Certificate shall be issued by the Controller of Examinations to the successful scholars certifying that the Ph.D. Degree has been awarded in accordance with the provision to the Regulations of UGC, 2022. (2) The original certificate shall be issued to the successful candidates at the Convocation of the University.
Depository	32. (1) After the award of the degree, one copy of the thesis shall be preserved in the University Library. (2) After the award of the Degree, the Controller of Examinations shall submit a soft copy of the thesis to the UGC within a period of thirty days for hosting the same in the INFLIBNET.
Publication of Thesis	33. (1) A thesis accepted by the University must not be published without the prior permission of the Vice-Chancellor of the University. (2) The scholar shall get permission from the University for the publication of the thesis, provided at least two of the examiners recommended for publication. (3) An outstanding PhD thesis, based on a recommendation from the examiners and the Vice-chancellor, may be considered for publication by the University itself.
Candidates' access to the Report	34. A copy of the Reports of the Examiners will be made available to the candidates on request and on payment of a prescribed fee.



General

35. Matters not covered by the above clauses shall be decided as and when necessary, by the Research Council in accordance with relevant UGC Regulations.

A handwritten signature in black ink, consisting of a stylized 'A' followed by a vertical stroke and a horizontal stroke.

ANNEXURE -I



NORTH LAKHIMPUR UNIVERSITY

PROFORMA FOR SIX-MONTHLY PROGRESS REPORT

(To be submitted by a registered PhD. Scholars as per 'North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (PhD), 2026)

No of the Report (Tick appropriate box)

1	2	3	4	5	6	7	8	9	10
---	---	---	---	---	---	---	---	---	----

Period: from to

1. Name of the Scholar :

2. Date of Registration :

3. Date of Re-Registration :

4. Topic of Research : (if applicable)

5. Work done during the period:

(i) Summary of the work done during the reported period:

[Summary may include details of the Theoretical Studies Done, Library visit, Field Studies Conducted, Experimental work conducted, Device/Hardware Designed, Software Developed etc. (one may attach separate sheet(s) if necessary)]

(ii) Conference/Symposium attended or papers presented (attach detailed list):

(iii) Book Chapter/ Book published (attach detailed list):

(iv) Journal Publications if any during the period (attach detailed list):

(v) Patent Granted/ Filled (attach detailed list):

(vi) Any other work done (attach detailed list):

Date : _____

Signature of the Ph.D. Scholar

Handwritten signature

6. Opinion of the Supervisor on the progress made by the scholar:

Date :

.....

Signature of the Ph.D. Supervisor & Chairperson, DAC

(FOR DRC/RC AND OFFICE USE)

Verified and recommended by the *Departmental Research Committee (DRC)* in its
..... meeting held on

Signature of Chairman, DRC (with seal)

Placed in the Meeting of the Research Council held on and accepted.

Signature of Member Secretary

Signature of Chairperson

Research Council, North Lakhimpur University





NORTH LAKHIMPUR UNIVERSITY

APPLICATION FOR REGISTRATION AS A CANDIDATE FOR THE DEGREE OF DOCTOR OF PHILOSOPHY

To,

The Chairperson

Departmental Research Committee, Dept. of

North Lakhimpur University

Khelmati, Lakhimpur

Please attach
your Recent
passport size
Photograph here

Sir/Madam,

I have gone through the clause "Admission Requirements" of the **North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (PhD), 2026**, I have the requisite qualification. I would like to apply for registration as a research student for the Ph.D. Degree in the Department of, of North Lakhimpur University and submit the following particulars in support of my application along with the Application fee.

Further, I would like to undertake that I shall abide by the Rules and Regulations of North Lakhimpur University framed from time to time.

Yours faithfully,

Date:

Signature of the candidate

Detailed Record of the Candidate:

1. Name (in block letter) :
2. Father's/ Husband's Name :
3. Date of birth (as given in the : H.S.L.C. Certificate):
4. Previous University Registration Details:

5. Whether married or unmarried :

6. Social Category (SC/ST/OBC/Minority):

7. Address (Permanent) :

8. Address of Correspondence :

9. Mobile Number:

10. Email:

11. Details of the Academic Records:

Examination passed	Name of the University/Board	Year of Passing	Roll No. Division	Percentage of Marks	Subjects Opted
H.S.L.C.					
H.S.S.L.C.					
Bachelor's degree					
Master's degree					
M.Phil. or any other Degree					

12. Proposed title of the Thesis:

13. Name of the Proposed Supervisor and Address:

14. Details of prior research experience (if any):

15. Proficiency in Languages:

(a) Can Speak :

(b) Can Read :

(c) Can Write :

16. If Employed :

(a) Name of the Institution :

(b) Nature of Work :

(c) Experience (in Years) :

Date:

Signature of the candidate

**Certificate of the Head of the Institution/ Organization in which the candidate is employed
(wherever applicable):**

I certify that the application is being made with my consent and permission. I have no objection if the candidate is registered for Ph.D. in North Lakhimpur University.

Date :

(Signature)

Office Seal

Name:

Designation:



Proposed Supervisor's Certificate

This is to certify that I know the applicant, ..., I am satisfied that he / she has sufficient competence for carrying out research works leading to Ph.D. I shall be ready to supervise his / her works, if it is approved by the Research Council.

I also declare that there are numbers of registered Ph.D. Scholars pursuing Ph.D. under my supervision.

Research scholars under my supervision as follows :

(a) Ph.D. Scholars under North Lakhimpur University (Total Number and Names):

.....

.....

.....

.....

(b) Ph.D. Scholars under other University / Institute (Total Number and Names):

.....

.....

.....

.....

.....
(Signature)

Name :

Date:

Designation :



Recommendation of the Departmental Research Committee

Certified that the D.R.C. in (subject) held on has recommended that the application of Mr./Ms. be placed in the Research Committee for recommendation for registration as a candidate for Ph.D. w.e.f..... under the Supervision of

(Signature)

Chairperson

Departmental Research Committee,

Dept. of.....

Office Seal

Date :

Recommendation of the Research Council

Certified that as recommended by the DRC concerned, the application of Mr./ Ms. for registration in the Ph.D. Programme in the Department of is further recommended for approval.

Date :

(Signature)

Chairperson, Research Council

Office Seal



Self-attested copies of the following documents must be enclosed along with the application:

1. Master's Degree Certificate
2. Bachelor's Degree Certificate
3. Pre-Degree / H.S.S.L.C. Certificate
4. H.S.L.C. Certificate
5. Master's Degree Marksheet
6. Bachelor's Degree Marksheet
7. Pre-Degree / H.S.S.L.C. Marksheet
8. H.S.L.C. Marksheet
9. M. Phil Certificate and Marksheet (If applicable)
10. Migration Certificate (in case of students migrating from other Universities).
11. Synopsis of the proposed research work, (Two copies)
12. Copy of the Receipt for Application Fee.



ANNEXURE -III



NORTH LAKHIMPUR UNIVERSITY

Proforma to be Filled in and Submitted Along with Ph.D. Abstracts

1. Name of the Scholar:
 2. Date of Registration:
 3. Date of Re-Registration (if applicable):
 4. Title of the Thesis:
 5. Name of the Supervisor(s):
-

6. Six-Monthly Progress Reports Submitted:

Sl. No.	Period	Recommended by the DRC on	Research Council in which the report was placed on recommendation of the DRC (to be filled in by the Office)

7. Details of the papers presented at Department /Regional/National/International seminars during the tenure of Research (at least once a year). (attested photocopies of certificate to be enclosed):

Sl. No.	Title of the Paper	Date & Place of Presentation	Name & Status of the Seminar
1.			
2.			
3.			

4.			
5.			
6.			

8. Paper Published: (Enclosed separate sheet if required):

Sl. No.	Name of the Journal	Publisher	ISSN	Vol. & Issue.	Date of Publication	Title of the Paper	Whether Scopus/ Web of Science Indexed (Yes/No)

9. Book Chapter(s) and Proceedings Chapters Published (if any)(Attach Details):

.....

Date:

Signature of the Ph.D. Scholar

Verified and forwarded

Signature(s) & Seal(s) of Supervisors(s)

(For office use only)

Verified and forwarded along with panel of examiners (Signature & Seal) Chairperson, DRC, Dept. of North Lakhimpur University Dated :	Checked and Verified Chairman RC To be placed before the meeting of the RC.
---	--



ANNEXURE -IV



NORTH LAKHIMPUR UNIVERSITY

Guidelines For Preparation of Ph.D. Thesis

1. All copies of the thesis will be printed on standard A-4 size paper. The right margin should be 2.5 cm, the left margin 3.75 cm, the top margin 3.75 cm and the bottom margin 3.75 cm. Material should be typed on both sides of the paper.
2. The thesis may be prepared using a recognized word-processing or scientific typesetting system, including Microsoft Word, LaTeX or an equivalent system. The choice of typesetting system shall not affect the prescribed academic, structural and formatting requirements of the University. Times New Roman (12 point) and 1.5-line spacing may ordinarily be used for the main textual material; however, appropriate fonts, sizes and spacing may be used for scientific texts, mathematical expressions, equations, scientific symbols, tables, figures, captions, references, computer code, appendices and other discipline-specific material. A consistent style shall be maintained throughout the thesis.
3. Throughout the manuscript, appropriate paragraph indentation and spacing shall be used consistently. Division of words at the end of a line should be avoided as far as practicable.
4. The title page (including the cover) shall be centre-aligned and shall include the following – title of the thesis in capital letters without splitting words or phrases, submission declaration sentence prescribed by the Research Council, logo of North Lakhimpur University, name of the author of the thesis, department under which the student was registered and the year of submission. Appropriate capitalization shall be used consistently throughout the title page.
5. Name of the degree, the year of submission, title of the thesis and name of the author shall be printed on the spine of the cover of the final copy of the thesis in the hard bond form as stated in clause 5 of this annexure.
6. The thesis shall include a complete and consistent list of references/bibliography. References shall be presented according to a recognized citation and referencing style appropriate to the discipline, as approved by the DRC. All entries in the reference list shall be appropriately cited in the thesis, as applicable.
7. For convenience, thesis can be prepared using the **prescribed templates** by the Research Council.
8. The colour of the thesis cover will be given as below:

Faculty	Colour of the cover
Arts & Humanities	Blue
Science & Technology	Maroon

9. The candidate shall submit four copies of the thesis in soft binding (five copies in case of joint supervision), or such number as may subsequently be prescribed by the University. One hard-bound copy of the final thesis shall be submitted within one month from the date of viva-voce for preservation in the University Library, unless otherwise prescribed.

ANNEXURE V



North Lakhimpur University

Biswanarayan Shastri Central Library, NLU

Date:

Plagiarism Verification

Title of the Thesis:

Page:

Research Scholar's Name:

Supervisor(s) Name & Designation:

Department:

Institution:

This is to report that the above thesis was scanned for similarity detection excluding bibliography and references. Process and outcome are given below:

Software used: Date:

Similarity Index (in percentage):.....

Total word count:

The complete report is submitted for review by the Supervisor/ DRC Chairperson.

Checked by

(Signature)

Date:

Place:

The complete report of the above thesis has been reviewed by the undersigned. (Tick the Appropriate Box)

☐ The similarity index is within the accepted norms.☐ The similarity index is above accepted norms, because of the following reasons:

1.....

2.....

3.....

The thesis may be considered for the award of degree. (Relevant documents attached).

Signature of the Student

Signature of the Supervisor

ANNEXURE VI



North Lakhimpur University, Lakhimpur

Department of

Date:

Student Approval Form

Name of the Author	
Department	
Degree	
Department	
Supervisor	
Thesis Title	
Year of Submission	

Agreement

1. I hereby certify that, if appropriate, I have obtained and attached here to a written permission/statement from the owner(s) of each third-party copyrighted matter to be included in my thesis/dissertation.
2. I hereby grant to North Lakhimpur University and its agents the non-exclusive license to archive and make accessible my thesis/dissertation, in whole or in part in all forms of media, now or hereafter known. I retain all other ownership rights to the copyright of the thesis/dissertation. I also retain the right to use in future works (such as articles or books) all or part of this thesis, dissertation, or project report.

Signature of the Scholar

Place:

Date:

Signature and seal of the
Supervisor

Place:

Date:

ANNEXURE VII



North Lakhimpur University, Lakhimpur

Department of

Date:

Self-Plagiarism Exclusion Certificate from Supervisor

The content of the chapters of the thesis entitled

.....

.....

have been published as mentioned below:

Sl. No.	Title of the Article/Chapter	Name of the Journal/Book	Publisher	Vol. & Issue No./ISSN/ISBN
1.				
2.				
3.				
4.				
5.				

These published works have been included in the thesis and have not been submitted for any degree to any University/Institute.

Signature of the Candidate

Signature of Supervisor

Place:

Place:

Date:

Date:

ANNEXURE - VIII



NORTH LAKHIMPUR UNIVERSITY

PROFORMA FOR APPLICATION FOR Ph.D. SUPERVISORSHIP

A. Basic Details:

1.	Name	
2.	Designation	
3.	Subject	
4.	Present Occupational Address	
5.	Date of Birth	

B. Expertise an Experience:

1.	Subject in master's degree with specialization if any	
2.	Date of Ph.D. awarded	
3.	Title of the Ph.D. Work	
4.	Teaching Experience before Ph.D.	
5.	Teaching Experience after Ph.D.	
6.	Teaching Experience in P.G. Classes at North Lakhimpur University	

C. Research Publication and Research-Project Details:

1.	Number of Research Papers/ Book Chapters /Proceedings Published in Scopus / Web of Science indexed journals/ volumes.	(Please enclose list)
----	---	-----------------------

2.	Number of Research Papers Published in peer-reviewed (non-Scopus / non-Web of Science indexed) journals.	(Please enclose list)
3.	Other Books and other relevant Articles authored by the applicant	(Please enclose list)
4.	Major/Minor Research Projects completed/ ongoing under the principal / co investigation of the applicant	(Please enclose list)

D. Declaration by the Application:

I hereby declare that the information stated as above is true to the best of my knowledge.

I hereby assure that I shall serve as a Ph.D. Supervisor of North Lakhimpur University in the subject complying with the **North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph.D.), 2026** and as and when amended.

Date :

Place :

Signature of the applicant

E. Recommendation of the DRC, North Lakhimpur University:

The meeting of the DRC, Dept. of held on hereby recommends Dr.

as a Ph.D. Supervisor in the subject, ... after finding him/her eligible for the same as per **North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph.D.), 2026**.

Date :

Place :

Signature of the Chairperson

DRC, Dept. of



F. Approval of the RC

The meeting of the RC, North Lakhimpur University held on
..... hereby approves Dr.
of as a Ph.D. Supervisor in the subject,
..... vide Resolution No.
on recommendation of the DRC, Dept. of held
on

Date :

Place :

Chairperson
Research Council



ANNEXURE - IX



NORTH LAKHIMPUR UNIVERSITY

**List of Research Papers Published in the Referred/Indexed
Journals/Volumes**

Name of the Applicant :

Subject :

Sl. No.	Name of the Journal / Volume	ISSN/ ISBN	Vol. & Issue	Publisher	Date of Publication	Title of the Paper	Whether Scopus/ Web of Science Indexed (Yes/No)

* Please enclose the copy of the papers.

Date :

Place :

Signature of the Applicant


REGISTRAR
 North Lakhimpur University
 Lakhimpur, Assam