

OFFICE OF THE REGISTRAR
NORTH LAKHIMPUR UNIVERSITY

Khelmati, North Lakhimpur, Assam - 787031

Ref. NLU/AR/2025/F-7/65

Dated: 13/02/2026

NOTIFICATION REGARDING DRAFT Ph.D. REGULATIONS

In pursuance of recommendations made by the 1st Meeting of the Interim Research Council of North Lakhimpur University held on 29th December, 2025, the Draft North Lakhimpur University Ph.D. Regulations, 2026 has been approved, under report to Academic Council and Executive Council, on 13th February 2026.

Issued with due approval.

Memo No. NLU/AR/2025/F-7/65(A)

Copy to:

- 1) All Chairpersons of DRCs, for information and necessary action
- 2) The Member Secretary, Academic Council, for information and necessary action
- 3) The Controller of Examinations, for information and necessary action
- 4) The PA to the Hon'ble Vice-Chancellor, for kind appraisal of the Hon'ble Vice-Chancellor
- 5) Website Administrator, with a request to upload the document in the university website
- 6) Guard file


(Dr. Biman Ch. Chetia) ✓
Registrar
REGISTRAR
North Lakhimpur University
Lakhimpur, Assam
Dated: 13/02/2026


(Dr. Biman Ch. Chetia) ✓
Registrar
REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

North Lakhimpur University
Ph. D. Regulations 2026



North Lakhimpur University
Khelmati, Assam, 787031
INDIA


REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

PART- I

Preamble

These Regulations, in conformity with the University Grants Commission (Minimum Standards and Procedures for Award of Ph. D. Degree) Regulations, 2022, aim to establish a high-quality Doctoral Programme towards the achievement of Academic Excellence.

Short Title and Commencement:

These Regulations shall be known as the 'North Lakhimpur University Ph. D. Regulations 2026.

Definitions:

In these Regulations, unless explicitly stated otherwise:

1. The "Act" means the North Lakhimpur University Act, 2023 (ASSAM ACT NO. LVIII OF 2023).
2. "These Regulations" means the First Ph. D. Regulations of North Lakhimpur University made under Section 57 (11) of the Act.
3. "Ph. D. Regulations" mean the North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph. D.), 2026 made under the Act.
4. "University" means the North Lakhimpur University, which shall be referred to as 'North Lakhimpur University' in common parlance.
5. "Faculty" means the Faculty of the University viz. Faculty of Science and Technology, Faculty of Arts and Humanities *etc.* as per Sections 42 and 43 of the Act.
6. "AC" means the Academic Council of the University.
7. "RC" means Research Council of North Lakhimpur University.
8. "Research Department" means a Department or a Center of studies of the University which conducts Ph. D. Programmes.
9. "DRC" means the Departmental Research Committees of the Research Departments of North Lakhimpur University.
10. "DAC" means Doctoral Advisory Committee (DAC), appointed for all the Ph. D. research scholars of the University by the supervisor of respective research scholars, with approval from DRC.
11. "Supervisor" means a Ph. D. supervisor of a Ph. D. student.
12. "Research Scholar" means a Ph. D. Student enrolled in the Ph. D. Programme of the University.

Conduct of Ph. D. Programme:

The Ph. D. Programmes shall be conducted under the general supervision of the Research Council, and the Departmental Research Committee (DRC) constituted under the 'North


REGISTRAR
 North Lakhimpur University
 Lakhimpur, Assam

Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph. D.), 2026'.

Regulations for the Research Council (RC):

Composition:

[To be Notified Later, as per the Statutes, Regulations and Ordinances of the NLU]

Term:

The term of all the members of the Research Council shall be for three years from the date of appointment.

Meetings:

The research council should meet twice a year.

Quorum of Meeting:

One-third of the existing membership of the Research Council shall form a quorum for a meeting of the Council

Powers and Duties of the Research Council:

The Research Council will be primarily responsible for the following:

1. Constitute one Departmental Research Committee (DRC) of each PG teaching department with a Ph. D. Programme.
2. Subject to the overall guidance of the Academic Council, periodically (at least once a year), prepare a perspective of research in the University and its Constituent College(s), if any, and identify the thrust areas for research in the disciplines of the University.
3. Critically review the status of research in the University in each department, examine the progress being made, and make possible suggestions.
4. RC will oversee the preparation of the Ph. D. coursework syllabi by the respective DRCs, ensuring alignment with the University Rules and Regulations and the applicable UGC Guidelines, and will submit the finalized syllabi to the Academic Council for approval.
5. Perform any other functions that may be assigned to it either by the Academic Council or the Executive Council.

Board of Studies: The Board of Studies of a PG teaching Department shall prepare syllabi for the Ph. D. Course work.

Departmental Research Committee (DRC):

The Departmental Research Committee (DRC) is the Research Committee of a PG teaching department/center of the University. There shall be a Departmental Research Committee (DRC) consisting of a minimum of three (3) members, including the Chairperson, constituted as below.



REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

1. **Chairperson:** The Head of Department will be the chairperson of the DRC, provided he/she is eligible for Ph. D. Supervisorship. If the Head of Department does not have eligibility for Ph. D. supervisorship, then the seniormost supervisor of the department shall be the Chairperson. He/ She will continue as the Chairperson of the DRC concerned until the Head of the Department/Director of the Centre acquires eligibility to be a supervisor.
2. **Members:** All eligible Ph. D. Supervisors of the department.
3. Provided that in the case of Departments where the Head of the Department is a single eligible recognized Supervisor, at least two members for the DRC of such Departments shall be nominated by the honorable Vice-Chancellor.
4. Provided that in the case of Departments where there is only a single eligible/recognized Supervisor who is not the Head of the Department, at least one (1) member for the DRC of such Departments shall be nominated by the honorable Vice-Chancellor
5. In cases where necessary, the Research Council, as per the suggestion from the honorable Vice Chancellor, may club more than one department to form a DRC with a minimum of 3 members, in which the honorable Vice-Chancellor or his/her nominee/ Dean of the Faculty concerned, if he/she has Ph. D. supervisorship, shall be the Chairperson.
6. Any other member may also be nominated to the DRC by the Honorable Vice-Chancellor as and when necessary.

Doctoral Advisory Committee (DAC):

Formation:

The supervisor shall initiate the formation of the DAC to monitor the progress of each of the research students.

1. The DAC shall be composed of the supervisor as the Chairperson and a minimum of three faculty members, of which at least two shall be from the concerned DRC, and one shall be an external member from within or outside the University nominated by the supervisor.
2. The formation of the DAC must be notified by the Chairperson of the concerned DRC.
3. There shall be a separate Doctoral Advisory Committee for each Ph. D. scholar.

Meeting:

The meetings of the DAC should be conducted at least once every six months or as needed and submit a copy to the proceeding to the Research Council, duly forwarded by research supervisors and the Chairperson of the concerned DRC.

Functions of the Doctoral Advisory Committee (DAC): The Doctoral Advisory Committee shall be responsible for the following functions:

1. To examine research proposals, finalize the topic of research and recommend them to the DRC concerned if they are found suitable for Ph. D. registration.
2. To guide the Ph. D. scholar in developing the study design and methodology of research and identify the course(s) that he/she may have to do.



REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

3. To periodically review and assist in the progress of the research work of the Ph. D. scholar.
4. To monitor the scholar's research progress and recommend progress reports to the DRC concerned.
5. Each semester, a Ph. D. scholar shall appear before the DAC to make a presentation and submit a brief report on the progress of his/her work for evaluation and further guidance. The DAC shall submit its recommendations along with a copy of Ph. D. scholar's progress report to the DRC. A copy of such recommendations shall also be provided to the Ph. D. scholar.
6. In case the progress of the Ph. D. scholar is unsatisfactory, DAC shall record the reasons for the same and suggest corrective measures. If the Ph. D. scholar fails to implement these corrective measures, DAC may recommend, with specific reasons, the cancellation of the registration of the Ph. D. scholar from the Ph. D. Programme.
7. Prior to the pre-submission seminar, it shall review the abstracts of the Ph. D. Thesis and make suggestions/recommendations to the Ph. D. scholar, if any.
8. It shall ensure that the thesis is corrected and modified in accordance with the examiners' recommendations prior to the final viva-voce examination, if any.
9. DRCs will prepare the respective coursework syllabi ensuring alignment with the University Rules and Regulations and the applicable UGC Guidelines and will submit the finalized syllabi to the Academic Council for approval through the RC.

Eligibility Of Supervisorship:

The following persons shall be eligible to be Supervisors for Ph. D. Programmes relating to respective areas of specialization:

1. A regular faculty member of the University Departments/Centres/ with a minimum of one (1) year Post Ph. D. teaching experience in the PG Programme, working as Professor/Associate Professor with a Ph. D. and at least five (5) research publications in peer-reviewed or refereed journals and Assistant Professors with a Ph. D., and at least three (3) research publications in peer-reviewed or refereed journals may be recognized as a Research Supervisor.
2. Recognised research supervisors of the University cannot supervise research scholars in other institutions, where they can only act as co-supervisors.
3. As and when required, DRC members from the same Department or from other departments of the University may act as a Co-Supervisor for a Ph. D. student.
4. Outstanding faculty members from outside the University may serve as a Co-Supervisor subject to approval from the Research Council.
5. In case of interdisciplinary/multidisciplinary research work, if required, a Co-Supervisor from outside the Department/Faculty/Centre/University may be appointed, subject to the approval from the Research Council.
6. An eligible Professor/Associate Professor/Assistant Professor can supervise up to eight (8) / six (6)/ four (4) Ph. D. scholars, respectively, at any given time.
7. If a research student under a Research Supervisor submits his/her Ph. D. thesis for adjudication, the date of submission of Ph. D. thesis will be considered as date of



REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

occurrence of vacancy under that Research Supervisor in order to avoid the delay in granting registration for new research scholar.

8. Faculty members with less than three years of service before superannuation shall not be allowed to take new research scholars under their supervision. However, such faculty members can continue to supervise Ph. D. scholars who are already registered until superannuation and as a co-supervisor after superannuation, but not after attaining the age of 70 years.
9. All faculties of North Lakhimpur College (Autonomous) duly approved as Ph. D. Supervisors by the Research Council of North Lakhimpur College (Autonomous), shall be deemed to be recognized as Ph. D. Supervisors of North Lakhimpur University.
10. No Supervisor shall be allowed to supervise the Ph. D. Programme of any near relative.

Change of Supervisor:

1. In case the Supervisor of a candidate is on long leave or on lien or leaves the University before the completion of research of the scholar, the Research Council may allow the Supervisor concerned to continue the supervision of the research work as the Co supervisor. In such cases, the DRC concerned shall take necessary steps for appointment of a Supervisor/Co-supervisor for the Scholar with due approval from the Research Council.
2. The change of supervisor shall be permitted only in cases like death, state of being incapacitated due to illness, mental disabilities, removal or suspension from the job or such cases where the RC considers it inevitable to change the supervisor. In such cases, the contribution of the former/original supervisor shall be recognized/acknowledged.
3. A Ph. D. student can apply to the Chairperson, RC through the Chairperson, DRC to change his/her Ph. D. supervisor if he/she wants to change his/her research area before the Ph. D. registration, to a new area outside the scope of the present supervisor.
4. Change of supervisor for any other matters, the Research Council shall take appropriate decisions as per the provisions of prevailing acts.



REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

PART-II

Eligibility for Admission in Ph. D. Programme:

The following are eligible to seek admission to the Ph. D. Programme:

1. Candidates who have completed:
 - i) A 1-year/2-semester master's degree Programme after a 4-year/8-semester bachelor's degree Programme or a 2-year/4-semester master's degree Programme after a 3-year bachelor's degree Programme or qualifications declared equivalent to the master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade in a point scale wherever grading system is followed or equivalent qualification from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of the educational institution.

Duration of the Programme:

1. Ph. D. Programme shall be for a minimum duration of two (2) years, after the registration, and a maximum duration of five (5) years from the date of registration to the Ph. D. Programme.
2. An extension of maximum two (2) years can be granted in extraordinary cases (recommended by DAC and DRC) as per the Statute/Ordinance of the North Lakhimpur University; provided, however, that the total period for completion of a Ph. D. Programme should not exceed seven (7) years from the date of registration in the Ph. D. Programme.
3. Provided further that, female Ph. D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph. D. Programme in such cases should not exceed ten (10) years from the date of admission in the Ph. D. Programme.
4. Female Ph. D. Scholars may be provided Maternity Leave/Child Care Leave as per applicable Govt. rules.

Procedure for admission:

Admission to the Ph. D. Programme shall be made using the following methods:

1. Admission to the Ph. D. Programme will be through an entrance test called 'North Lakhimpur University Research Entrance Test (NLURET)' followed by a viva-voce/ interview by concerned DRC.


 REGISTRAR
 North Lakhimpur University
 Lakhimpur, Assam

2. The syllabus for NLURET shall consist of 50% on research methodology, and 50% shall be subject-specific.
3. Candidates who have secured 50% marks in the entrance test are eligible to be called for the viva-voce/ interview.
4. Candidates who have qualified the UGC-NET (JRF/ Lectureship/ Ph. D. only)/ UGC-CSIR NET (JRF/ Lectureship/ Ph. D. only), GATE/ DST-INSPIRE in relevant subject are exempted from appearing the NLURET. However, these candidates must apply for admission as per the University Notification and have to appear in a viva-voce/ interview as prescribed by the DRC concerned.
5. A relaxation of 5 % marks will be allowed in the entrance examination for the candidates belonging to SC/ST/OBC/specially abled category and other categories as per the decision of the Commission from time to time.
6. The final merit list will be prepared based on the performance in the interview/ viva-voce.

Coursework:

1. The Credit requirement for the Ph. D. coursework is a minimum of 12 credits, including a "Research and Publication Ethics" course as notified by UGC vide D.O. No. F.1-1/2018(Journal/CARE) in 2019, and a research methodology course.
2. The University will offer a blended mode option for regular-part-time students during the coursework phase.
3. The Doctoral Advisory Committee can also recommend UGC recognized online courses as part of the credit requirements for the Ph. D. Programme.
4. All Ph. D. scholars shall be required to train in teaching/education/pedagogy/writing related to their chosen Ph. D. subject during their doctoral period.
5. A Ph. D. scholar must obtain a minimum of 55% marks or its equivalent grade in the UGC 10-point scale in the course work to be eligible to continue in the Programme and submit his or her thesis.

Registration:

1. **Presentation of Synopsis:** Within one year from successful completion of the course work, a candidate shall present his/her research synopsis before the DAC. DAC shall review the proposal(s), suggest modifications, if any and finalize a research topic for the scholar.
2. After finalizing the research topic, the candidate shall submit the synopsis to the DAC concerned in triplicate.
3. DAC shall recommend the research proposals for consideration to the DRC concerned.
4. The Chairperson, DRC shall forward the applications of the candidate for Ph. D. Registration along with its recommendations to the Academic Registrar of North Lakhimpur University. The proposals for registration should contain the following documents-
 - (i) Duly filled in and duly forwarded Application Forms
 - (ii) Recommendation of the DAC
 - (iii) Recommendation of the DRC with proposed date of effect (ANNEXURE – IV).



REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

Allocation of Supervisor:

1. The allocation of a research supervisor to a selected research scholar shall be determined by the concerned Departmental Research Committee (DRC), considering the permissible number of scholars per supervisor, the available specializations of the research supervisors, the research interests expressed by the scholars during the interview, and the willingness of the prospective supervisor.
2. At the time of admission, each research scholar shall be provisionally allotted a supervisor. The supervisorship shall be formally confirmed by the Departmental Research Committee (DRC) at the time of registration of the scholar.
3. Where required, a co-supervisor shall be allotted at the time of the scholar's registration.

Extension:

Provided that if a candidate fails to submit the thesis within five (5) years from the date of registration, he/she may apply for extension. In such cases, RC on recommendation of the DRC concerned may allow a scholar for extension of the registration period for a maximum of two years, provided that the total period for completion of a Ph. D. Programme should not exceed seven (7) years from the date of registration in the Ph. D. Programme.

Ph. D. through Regular Part-time Mode:

1. Ph. D. Programmes through regular part-time mode will be permitted, to a permanent government in-service employee, provided he or she applies through the proper channel and provided all the conditions stipulated in this Regulation are fulfilled.
2. Candidates applying for Regular Part-time mode shall apply through the same procedure and same eligibility and selection processes apply to them as well.
3. Additionally, candidates applying for Regular Part-time mode must submit a "No Objection Certificate" from the appropriate authority in the organization where the candidate is employed, clearly stating that:
 - i) The candidate is permitted to pursue studies on a part-time basis.
 - ii) His/her official duties permit him/her to devote sufficient time for research.
 - iii) If required, he/she will be relieved from the duty to complete the course work.

Modification of the Thesis Title:

A scholar may be allowed by the DRC on the recommendation of DAC to modify the title, provided it does not alter the basic thrust or contention of the research work.

Change the topic:

A scholar may be allowed by the DRC to change the topic of his/ her ongoing research provided the same is duly recommended by DAC concerned. In such cases, the candidate must present his/her new research topic and submit fresh synopsis of the proposed topic.



REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

Language:

In all subjects, the thesis shall be written in English. However, in the language subjects, the thesis may be written in English or in the language concerned as deemed appropriate by the School Board concerned.

Pre-Requisites for Submission of thesis:

1. A scholar registered for Ph. D. degree becomes eligible to submit his/her Ph. D. Thesis on completion of two years of research work from the date of registration. He/she must submit the thesis within five (5) years from the date of registration.
2. Every Ph. D. scholar must have at least one research paper published in referred/indexed journals (Scopus, Web of Science *etc.*) before the submission of the thesis and produce evidence to that effect in the form of re-print or acceptance letter.
3. Every registered scholar shall present two original research work in DRC relevant to their domains of research.
4. Prior to submission of the thesis, the scholar shall make a seminar presentation before the DAC based on the synopsis of the thesis, which shall be open to all faculty members and research scholars/students.

Submission of Abstract of Thesis:

1. On completion of the research work, the scholar shall write to the Chairperson of the DAC concerned seeking permission to submit the thesis along with a soft copy and four (4) printed copies of the abstract of the thesis. The Chairperson, DAC shall place the application before the DRC along with the filled in format as stated in Annexure III.
2. **Presentation of the Abstract of Ph. D. Thesis:** The DRC concerned shall arrange for presentation of the of Abstract of Ph. D. Thesis in a seminar, which shall be open for all faculties, research scholars and students at the University.
3. On satisfactory presentation of the findings, the DRC shall forward the quadruplicate copies of the synopsis of the thesis to the RC, provided the scholar fulfils all the requirements as mentioned.
4. The DRC shall submit the synopsis of the thesis along with the panel of Examiners to the RC. The panel shall include the concerned Ph. D. supervisor as the internal examiner, and four (4) external examiners, of which at least two (2) experts must be from outside the state/ country. The list of external examiners should not contain names of recognized Ph. D. Supervisors of North Lakhimpur University or of any person from the institution where the Ph. D. scholar has a Co-supervisor. External examiner(s) should be academicians with a good record of scholarly publications in the field.
5. If the Chairperson, Research Council is satisfied that the Panel is in order in all respects, he/she shall forward it to the Controller of Examinations.
6. The Controller of Examination shall finalize two external examiners, one of which must be from outside the state/country with due approval from the Honorable Vice-Chancellor.


 REGISTRAR

North Lakhimpur University
Lakhimpur, Assam

Anti-Plagiarism Measure:

Before submitting the thesis for evaluation, the scholar must check his/ her draft of thesis by anti-plagiarism software URKUND or any other software approved by the UGC-INFLIBNET Centre and shall have to produce two Certificates in relation to plagiarism as follows:

- i) Certificate of Originality by the Scholar, Annexure V.
- ii) Student Approval Form by the Scholar Annexure VI.
- iii) Besides, the scholars also have to submit a Self-Plagiarism Exclusion Certificate duly signed as per Annexure VII.

Submission of Ph. D. Thesis:

1. The scholar shall submit to the Controller of Examinations, soft copies of Ph. D. thesis, with two printed copies within three (3) months from the date of submission of the abstracts or the date of expiry of the period of registration/ extension of registration (whichever is earlier) in the prescribed format along with the requisite amount of fees.
2. A Ph. D. scholar shall submit the thesis for evaluation, along with (a) an undertaking from the Ph. D. scholar that there is no plagiarism and (b) a certificate from the Research Supervisor attesting to the originality of the thesis and that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution.

Appointment of Examiners:

1. The Controller of Examinations shall appoint two examiners out of the panel of experts with due approval, of which at least one shall be from outside the state/ country, besides the Supervisor and Co-supervisor(s), if any, of the thesis.
2. The Controller of Examinations shall send the soft/printed copy of the Abstracts to the external examiners as approved by the Vice-Chancellor as a first step towards the examination of the thesis.
3. If the externals agree to examine the Ph. D. The Controller of Examinations will send the soft/printed copies of the Ph. D. thesis to the examiner.
4. If any external denies examining the Ph. D. thesis, the Controller of Examinations will send the Abstract to another member of the panel, with due approval from the honorable Vice-Chancellor, and the same process shall follow.

Evaluation of Ph. D. thesis:

The Controller of Examinations and the DRC will take appropriate measure so as to complete the entire process of evaluation of the thesis for Ph. D. within a period of six months from the date of submission of the thesis.

The thesis shall be evaluated in two parts: (1) **Written reports** by the Examiners on the thesis submitted, and (2) **Viva-Voce Examination**



REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

1. Written reports:

- i) While giving detailed comments on the thesis, the Examiner shall recommend that in his/her opinion -

either, the thesis be accepted, (This opinion will be given on the basis of the thesis Examiner's detailed report, and it must not contradict the detailed report.)

or the thesis be revised and resubmitted. (In this case, the examiner shall indicate the lines along which the thesis should be revised. For such revision, the candidate shall be provided with the reports of the examiner/examiners who have recommended revision of the thesis.)

or the thesis be rejected. [In this case, reasons for rejection will be given by the Examiner(s).]

The Controller of Examinations shall place the External Examiner's Reports of the Ph. D. Thesis before the Chairperson of the Research Council in confidentiality. The Chairperson on behalf of the Research Council shall examine reports of the Ph. D. Thesis and suggest the Controller of Examinations for further action accordingly.

- ii) In cases where both the external examiners recommend rejection of the thesis, the thesis shall be rejected, and the Ph. D. scholar shall be declared ineligible for the award of a Ph. D.
- iii) In cases where any one of the examiners recommends rejection, then the thesis shall be referred to a third External Examiner from the Panel. In this case, the candidate will have to pay a prescribed fee. If this examiner also recommends rejection, the thesis shall be rejected, and the Ph. D. scholar shall be declared ineligible for the award of a Ph. D.
- iv) In cases where any one of the examiners asks for resubmission, the Controller of Examinations, through the concerned DRC, shall ask the candidate to resubmit the thesis along with a prescribed fee within three months in the light of the suggestions made by the Examiner concerned. The revised thesis will be referred to the examiner who had sought revision.
- v) The Examiners shall also state whether the thesis is worthy of publication or not. If not, the reasons will be clearly stated by the Examiner(s). The recommendation, however, is necessary only for the publication of the thesis. In case the thesis is not recommended for publication, this will not have any bearing on the award of the degree.


REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

- vi) Upon acceptance of the Ph. D. thesis by both externals, the Controller of Examinations shall recommend the concerned DRC to proceed with the viva-voce examination of the Ph. D. scholar.

2. Viva-Voce Examination:

- i) After getting recommended by the Controller of Examinations to proceed for the Viva-Voce examination, the Chairperson of DRC shall notify the date and time for the Viva-Voce examination of the Ph. D. Scholar.
- ii) The viva-voce board shall consist of the following:
 - a) Chairperson: Chairperson of the DRC
 - b) Members: Research Supervisor, Co-supervisor(s), if any, and at least one of the two external examiners appointed by the Honourable Vice-Chancellor.
- iii) In case the Chairperson of the DRC is the Ph. D. Supervisor, he/she shall nominate another member of the DRC as the Chairperson of the Viva-Voce board, and the supervisor shall act as a member of the board.
- iv) The viva-voce shall be open to the members of the DAC/faculty members/research scholars, and students; however, the Viva-Voce report shall be prepared only by the viva-voce board.
- v) Viva-Voce may be conducted in hybrid mode
- vi) In the event of the absence of unanimity amongst the members of the Viva-Voce with regard to the award of the Ph. D. degree, the majority decision shall prevail.
- vii) The Report of the Viva-Voce examination, with clear indications of recommended/not recommended for the Ph. D. degree, shall be forwarded by the Chairperson of the concerned DRC to the Chairperson, Research Council for necessary action.
- viii) The result of the Viva-voce examination shall be made known to the Ph. D. scholar immediately after preparation of the report.
- ix) Provided that in case of rejection by the majority, the candidate shall be required to appear again for the Viva-Voce within three months, or before completion of six (6) years from the date of admission, whichever is earlier.

Results:

1. Upon successful defense of the Ph. D. thesis in the viva-voce examination, the scholar shall submit the final hard-bound copy of the thesis along with a soft copy to the Controller of Examinations after incorporating corrections, modifications, etc. as suggested by the Viva-voce Board, if any, within one month from the viva-voce examination, which is to be duly certified by the supervisor concerned.
2. The honorable Vice-Chancellor shall accept from the Controller of Examinations a duly examined Ph. D. thesis and declare the candidate eligible for Ph.D, which is to be immediately notified by the Registrar with the title of the thesis, name of the Supervisor, and the subject to which the thesis belongs, with a copy to all concerned.



REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

Issue of Certificate:

1. A Provisional Certificate shall be issued by the Controller of Examinations to the successful scholars certifying that the Ph. D. Degree has been awarded in accordance with the provision to the Regulations of UGC, 2022.
2. The original certificate shall be issued to the successful candidates at the Convocation of the University.

Depository:

1. After the award of the degree, one copy of the thesis shall be preserved in the University Library.
2. After the award of the Degree, the Controller of Examinations shall submit a soft copy of the thesis to the UGC within a period of thirty days for hosting the same in the INFLIBNET.

Publication of Thesis:

1. A thesis accepted by the University must not be published without the prior permission of the Honorable Vice-Chancellor of the University.
2. The scholar shall get permission from the University for the publication of the thesis, provided at least two of the examiners recommended for publication.
3. An outstanding Ph. D. thesis, based on a recommendation from the examiners and the Honorable Vice-chancellor, may be considered for publication by the University itself.

Candidates' access to the Report:

A copy of the Reports of the Examiners will be made available to the candidates on request and on payment of a prescribed fee.

General

Matters not covered by the above clauses shall be decided as and when necessary, by the Research Council in accordance with relevant UGC Regulations.



Member Secretary

Research Council, NLU

REGISTRAR
North Lakhimpur University
Lakhimpur, Assam

Chairperson

Research Council, NLU

ANNEXURE -I

NORTH LAKHIMPUR UNIVERSITY

PROFORMA *for* SIX-MONTHLY PROGRESS REPORT

(To be submitted by a registered Ph. D.. Scholars as per 'North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph. D.), 2025)

No of the Report (Tick appropriate box):

1	2	3	4	5	6	7	8	9	10
---	---	---	---	---	---	---	---	---	----

Period: from _____ to _____

1. Name of the Scholar : _____
2. Date of Registration : _____
3. Date of Re-Registration : _____ (if applicable)
4. Topic of Research : _____

5. Work done during the period:

- (i) Books consulted including names of libraries visited (attach separate sheet if necessary):
- (ii) Field Study /Survey/Work conducted:
- (iii) Experiments conducted (attach separate sheet if necessary):
- (iv) Seminars/Workshops attended or papers presented:
- (v) Publications if any during the period:
- (vi) Any other work done:

Date : _____

Signature of the Ph.
D. Scholar

6. Opinion of the Supervisor on the progress made by the scholar:

Date : _____

Signature of the Ph. D. Supervisor &
Chairperson, DAC

~~~~~  
**FOR DRC AND OFFICE USE:** Verified and recommended by the  
*Departmental Research Committee (DRC)* in \_\_\_\_\_ in its meeting held on

**DATED** \_\_\_\_\_  
\_\_\_\_\_

Signature of Chairman, DRC  
(with seal)

Placed in the Meeting of the Research Council held on \_\_\_\_\_ and  
accepted.

Signature of Member Secretary

Signature of Chairperson, RC

\*\*\*\*\*

## ANNEXURE-II

## NORTH LAKHIMPUR UNIVERSITY (APPLICATION FOR REGISTRATION AS A CANDIDATE FOR THE DEGREE OF DOCTOR OF PHILOSOPHY)

To

The Chairperson

Departmental Research Committee in.....

North Lakhimpur University

Khelmati, Lakhimpur

Please attach  
your Recent  
passport size  
Photograph here

Sir/Madam,

I have gone through the clause "Admission Requirements" of the **North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph. D.), 2025**, I have the requisite qualification. I would like to apply for registration as a research student for the Ph. D. Degree in the Department of .....of North Lakhimpur University and submit the following particulars in support of my application along with the Application fee.

Further, I would like to undertake that I shall abide by the Rules and Regulations of North Lakhimpur University framed from time to time.

Yours faithfully,

Dated:

Signature of the candidate

(Particulars to be filled by the Candidate)

1. Name (in block letter) :
2. Father's/ Husband's Name :
3. Date of birth (as given in the : H.S.L.C. Certificate)
4. University Registration No. :
5. Whether married or unmarried :
6. Whether you belong to : SC/ST/OBC/Minority
7. Address (Permanent) :
8. Address of Correspondence : \_\_\_\_\_

Phone : \_\_\_\_\_

E.mail : \_\_\_\_\_

9. Details of examination passed:

| Examination passed | Name of the University/Board | Year of Passing | Roll No. Division | Percentage of Marks | Subject offered |
|--------------------|------------------------------|-----------------|-------------------|---------------------|-----------------|
| H.S.L.C.           |                              |                 |                   |                     |                 |
| H.S.S.L.C.         |                              |                 |                   |                     |                 |

|                            |  |  |  |  |  |
|----------------------------|--|--|--|--|--|
| Bachelors Degree           |  |  |  |  |  |
| Masters Degree             |  |  |  |  |  |
| M.Phil or any other Degree |  |  |  |  |  |

10. Proposed title of the Thesis : \_\_\_\_\_

11. Name of the Proposed Supervisor : \_\_\_\_\_

and his / her address \_\_\_\_\_

12. Details of research experience, if : any \_\_\_\_\_

13. Proficiency in Languages:

(a) Can Speak : \_\_\_\_\_

(b) Can Read : \_\_\_\_\_

(c) Can Write : \_\_\_\_\_

14. If Employed :

(a) Name of the Institution :

(b) Nature of Work :

(c) Experience :

**Certificate of the Head of the Institution/ Organization in which the candidate is employed (wherever applicable):**

I certify that the application is being made with my consent and permission. I have no objection if the candidate is registered for Ph. D. in North Lakhimpur University.

Date : \_\_\_\_\_

(Signature)

Office Seal

Name:

Designation:

**Proposed Supervisor's Certificate**

This is to certify that I know the applicant, I am satisfied that he / she has sufficient competence for carrying out research works leading to Ph. D. I shall be ready to supervise his / her works, if it is approved by the Research Council.

I also declare that there are Nos. of registered Ph. D. Scholars pursuing Ph. D. Research under my supervision as follows :

- (a) Under North Lakhimpur University  
(b) Under other University / Organization.  
(Please specify Name and Nos.)

Nos.

Nos.

.....  
(Signature)

Name :

Date :

Designation :

**Recommendation of the Departmental Research Committee**

Certified that the D.R.C. in (subject) ..... held on ..... has recommended that the application be placed in the Research Committee for recommendation for registration as a candidate for Ph. D. w.e.f. under Supervision of .....

(Signature) Chairperson

Departmental Research Committee  
in.....

Date : .....

Office Seal :

Date : \_\_\_\_\_

**Recommendation of the Research Council**

Certified that as recommended by the DRC concerned, the application of Mr./ Ms

..... for registration in the Ph.D. Programme in  
 ... is further recommended for approval.

Date : .....

.....

(Signature)

Chairperson, Research Council

Office Seal : .....

Attested copies of the following documents must be enclosed along with the application.

1. Master's Degree Certificate
2. Bachelor's Degree Certificate
3. Pre-Degree / H.S.S.L.C. Certificate
4. H.S.L.C. Certificate
5. Master's Degree Marksheet
6. Bachelor's Degree Marksheet
7. Pre-Degree / H.S.S.L.C. Marksheet
8. H.S.L.C. Marksheet
9. M. Phil Certificate and Marksheet (If applicable)
10. Migration Certificate (in case of students migrating from other Universities).
11. Synopsis of the proposed research work, (Two copies)
12. Copy of the Receipt for Application Fee.

## ANNEXURE -III

## PROFORMA TO BE FILLED IN AND SUBMITTED ALONG WITH PH. D. ABSTRACTS

1. Name of the Scholar: \_\_\_\_\_

2. Date of Registration: \_\_\_\_\_

3. Date of Re-Registration (if applicable): \_\_\_\_\_

4. Title of the Thesis: \_\_\_\_\_

5. Name of the Supervisor(s): \_\_\_\_\_

6. Six-Monthly Progress Reports Submitted:

| Sl. No. | Period | Recommended by the DRC on | Research Council in which the report was placed on recommendation of the DRC (to be filled in by the Office) |
|---------|--------|---------------------------|--------------------------------------------------------------------------------------------------------------|
|         |        |                           |                                                                                                              |
|         |        |                           |                                                                                                              |
|         |        |                           |                                                                                                              |

7. Details of the papers presented at Department /Regional/National/International seminars during the tenure of Research (at least once a year). (attested photocopies of certificate to be enclosed):

| Sl.No. | Title of the Paper | Date & Place of Presentation | Name & Status of the Seminar |
|--------|--------------------|------------------------------|------------------------------|
| 1.     |                    |                              |                              |
| 2.     |                    |                              |                              |
| 3.     |                    |                              |                              |
| 4.     |                    |                              |                              |
| 5.     |                    |                              |                              |
| 6.     |                    |                              |                              |

8. Paper Published (if any): Enclosed separate sheet. Dated: \_\_\_\_\_

Signature of the Ph. D. Scholar

9. Verified and forwarded

### Signature (s) & Seal (s) of Supervisors (s)

|                                                                                                                                                                              |                                                                                                                                                 |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|
| <b><u>Verified and forwarded along with panel of examiners:</u></b><br><br>(Signature & Seal)<br>Chairperson,<br>DRC in .....<br>North Lakhimpur University<br>Dated : _____ | (For office use only)<br>Checked and Verified:<br><br>Dealing Assistant:<br>Chairman RC:<br>_____<br>To be placed before the meeting of the RC. |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|

\*\*\*\*\*

## ANNEXURE -IV

**GUIDELINES FOR PREPARATION OF Ph. D. THESIS**

1. All copies of the thesis will be printed or type-written on standard A-4 size paper. The right margin should be 2.5 cm, the left margin 3.75 cm, the top margin 3.75 cm and the bottom margin 3.75 cm. Material should be typed on both sides of the paper. All textual material should be composed in Times New Roman font (12 point) and double-spaced. Different font size and spacing may be used for quotations, footnotes, tables and figures, appendix and index, (if any). Same style and type should be used throughout the thesis. Throughout the manuscript an indentation of five spaces or as required in a standard word processor should be used at the beginning of paragraph and quotations. Dividing of words at the end of the line should be avoided as far as possible.
2. The Maps and drawings may have appropriate size as advised by the Supervisor.
3. The title page (including the cover) should include the following – title of the thesis, name of the degree, logo of North Lakhimpur University, name of the author of the thesis, Department under which the student was registered and the year of submission.

The titles should be in capital letters beginning six spaces from the top of the page. If the title is too long to be centered on one line, an inverted pyramid style should be followed, without splitting words or phrases. Below the title, other items may be centered or balanced against the left and right margins of the page. Other items should not be typed in capital letters, only the initials of the principal words be capitalized. Name of the degree, the year of submission, title of the thesis and short name of the author be embossed / printed also on the spine of the cover of the final copy of the thesis in the hard bound form as stated in clause 5 of this annexure.

4. The candidates shall be required to attach/ submit the requisite certificates of Anti-Plagiarism as stated in clause 24(iv) of the Regulations.
5. The Colour of the thesis cover will be given as below:

| Faculty              | Colour of the cover |
|----------------------|---------------------|
| Arts & Humanities    | Blue                |
| Science & Technology | Brown               |

6. The candidate shall submit four copies (five copies in case of joint Supervision) of the thesis in soft binding. However, a scholar shall have to submit one copy of the thesis in a hard bound form within one month from the date of viva-voce for preservation in the library.

\*\*\*\*\*

**ANNEXURE V****LOGO**

North Lakhimpur University, Lakhimpur

**Department of .....**

**Date:** \_\_\_\_\_

**Certificate of Originality**

The research work embodied in this thesis entitled " \_\_\_\_\_ " has been carried out by me at the Department of \_\_\_\_\_, North Lakhimpur University, Lakhimpur, Assam, India. The manuscript has been subjected to plagiarism check by \_\_\_\_\_ software. The work submitted for consideration of award of Ph.D is original.

**Name and Signature of the Candidate**

## ANNEXURE VI

North Lakhimpur University, Lakhimpur

Department of .....

Date: \_\_\_\_\_

### Student Approval Form

|                           |  |
|---------------------------|--|
| <b>Name of the Author</b> |  |
| <b>Department</b>         |  |
| <b>Degree</b>             |  |
| <b>Department</b>         |  |
| <b>Supervisor</b>         |  |
| <b>Thesis Title</b>       |  |
| <b>Year of Submission</b> |  |

### Agreement

1. I hereby certify that, if appropriate, I have obtained and attached here to a written permission/statement from the owner(s) of each third-party copyrighted matter to be included in my thesis/dissertation.
  
2. I hereby grant to North Lakhimpur University and its agents the non-exclusive license to archive and make accessible my thesis/dissertation, in whole or in part in all forms of media, now or hereafter known. I retain all other ownership rights to the copyright of the thesis/dissertation. I also retain the right to use in future works (such as articles or books) all or part of this thesis, dissertation, or project report.

Signature of the Scholar Place:

\_\_\_\_\_ Date:

Signature and seal of the  
Supervisor

Place: \_\_\_\_\_ Date:

\*\*\*\*\*

## ANNEXURE VII

[LOGO]

North Lakhimpur University, Lakhimpur

Department of .....

Date: \_\_\_\_\_

## Self-Plagiarism Exclusion Certificate from Supervisor

The content of the chapters of the thesis entitled  
published as mentioned below:

have been

| Sl. No. | Title of the<br>Article/Chapter | Name of the<br>Journal/Book | Publisher | Vol. & Issue<br>No./ISSN/ISBN |
|---------|---------------------------------|-----------------------------|-----------|-------------------------------|
| 1.      |                                 |                             |           |                               |
| 2.      |                                 |                             |           |                               |
| 3.      |                                 |                             |           |                               |
| 4.      |                                 |                             |           |                               |
| 5.      |                                 |                             |           |                               |

These published works have been included in the thesis and have not been submitted for any degree to any University/Institute.

Signature of the Candidate

Signature of Supervisor

Place: \_\_\_\_\_ Date: \_\_\_\_\_

Place: \_\_\_\_\_ Date: \_\_\_\_\_

\*\*\*\*\*

## ANNEXURE - VIII

## NORTH LAKHIMPUR UNIVERSITY

## PROFORMA FOR APPLICATION FOR Ph. D. SUPERVISORSHIP

A.

|    |                              |  |
|----|------------------------------|--|
| 1. | Name                         |  |
| 2. | Designation                  |  |
| 3. | Subject                      |  |
| 4. | Present Occupational Address |  |
| 5. | Date of Birth                |  |

B.

|     |                                                      |  |
|-----|------------------------------------------------------|--|
| 6.  | Subject in Masters Degree with specialization if any |  |
| 7.  | Date of Ph. D. awarded                               |  |
| 8.  | Title of the Ph. D. Work                             |  |
| 9.  | Teaching Experience before Ph. D.                    |  |
| 10. | Teaching Experience after Ph. D.                     |  |
| 11. | Teaching Experience in P.G. Class                    |  |

C.

|     |                                                                        |                       |
|-----|------------------------------------------------------------------------|-----------------------|
| 12. | Number of Research Papers published in referred journals before Ph. D. | (Please enclose list) |
| 13. | Number of Research Papers Published in referred journals after Ph. D.  | (Please enclose list) |

|     |                                                                                                                         |                       |
|-----|-------------------------------------------------------------------------------------------------------------------------|-----------------------|
| 14. | Number of Research Papers published in referred journals after Ph. D. awarded and authored by the teacher independently | (Please enclose list) |
| 15. | Books and other relevant Articles authored by the applicant                                                             | (Please enclose list) |
| 16. | Major/Minor Research Projects completed/ ongoing under the principal / co investigation of the applicant                | (Please enclose list) |

#### D. Declaration by the Application:

1. I hereby declare that the information stated as above is true to the best of my knowledge.

I hereby assure that I shall serve as a Ph. D. Supervisor of North Lakhimpur University in the subject \_\_\_\_\_ complying with the North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph. D.), 2025 and as and when amended.

Date : .....

Place : .....

Signature of the applicant

#### E. Recommendation of the DRC, North Lakhimpur University:

The ..... meeting of the DRC in ..... held on ..... hereby recommends Dr..... of ..... as a Ph. D. Supervisor in the subject, ..... after finding him/her eligible for the same as per North Lakhimpur University Regulations for the Research Council and Award of the Degree of Doctor of Philosophy (Ph. D.), 2025

Date : .....

Place : .....

Signature of the Chairperson DRC  
in .....

#### F. Approval of the RC

The ..... meeting of the RC in ..... held on ..... hereby approves Dr.  
 ..... of ..... as a Ph.  
 D.

Supervisor in the subject, ..... vide Resolution No ..... on  
 recommendation of the DRC in ..... held on .....

Date : ..... Place :

.....

**Chairperson**  
**(Research Council)**

## ANNEXURE - IX

## NORTH LAKHIMPUR UNIVERSITY

## LIST OF RESEARCH PAPERS PUBLISHED IN THE REFERRED JOURNALS

Name of the Applicant : .....

Subject : .....

| Sl. No. | Name of the Journal | ISSN | Vol. No. | Date of Publication | Title of the Paper | Coauthors |
|---------|---------------------|------|----------|---------------------|--------------------|-----------|
|         |                     |      |          |                     |                    |           |
|         |                     |      |          |                     |                    |           |
|         |                     |      |          |                     |                    |           |

\* Please enclose the copy of the papers.

Date :

Place :

Signature of the Applicant

## ANNEXURE - X

## NORTH LAKHIMPUR UNIVERSITY

MAJOR/MINOR RESEARCH PROJECTS COMPLETED/ ONGOING UNDER THE  
PRINCIPAL / CO INVESTIGATION OF THE APPLICANT

Name of the Applicant :.....

Subject :

.....

| S.N. | Title of the Projects | Where the Project(s) Located | Duration | Funding Agency | Status | Principal/Co-investigator | Publication if any |
|------|-----------------------|------------------------------|----------|----------------|--------|---------------------------|--------------------|
|      |                       |                              |          |                |        |                           |                    |
|      |                       |                              |          |                |        |                           |                    |
|      |                       |                              |          |                |        |                           |                    |

Date :

Place :